

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Douglas R. Woellner et al.

Confirmation No.:

Application No.: 10/814,840

Examiner:

Filing Date: 03/31/04

Group Art Unit:

Title: CABLE PLUG RETENTION CLIP

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 07/13/04

OR

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: Carla Deblaw

Signature: Carla Deblaw

Respectfully submitted,

Douglas R. Woellner et al.

By Tait R. Swanson

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Reg. No. **48,226**

Date: **07/13/04**

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**PATENT APPLICATION**

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

**200313398-1**

APPLICATION NO.

**10/814,840**

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APPLICANT

**Douglas R. Woellner et al.**

FILING DATE

**03/31/04**

GROUP

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	3,601,757	08/24/71	Glenn D. Gober	
	1B	4,400,051	08/23/83	Stenz	
	1C	4,407,554	10/04/83	Drall	
	1D	4,451,102	05/29/84	Reh	
	1E	4,564,255	01/14/86	Kirma	
	1F	4,652,069	03/24/87	Smith	
	1G	4,662,697	05/05/87	Moses	
	1H	4,702,709	10/27/87	Santilli	
	1I	4,733,234	03/22/88	Sparks et al.	
	1J	5,087,210	02/11/92	Myers et al.	
	1K	5,133,671	07/28/92	Boghossian	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

## PATENT APPLICATION

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	5,151,033	09/29/92	Kawai et al.	
	2B	5,151,035	09/29/92	Kawai et al.	
	2C	5,171,291	12/15/92	d' Arc et al.	
	2D	5,336,107	08/09/94	Sheryll	
	2E	5,655,924	08/12/97	Cross et al.	
	2F	5,725,393	03/10/98	Steininger et al.	
	2G	5,785,547	07/28/98	Cross et al.	
	2H	5,906,520	05/25/99	Frinker et al.	
	2I	5,934,919	08/10/99	Cross et al.	
	2J	6,086,432	07/11/00	Frinker et al.	
	2K	6,095,846	08/01/00	Becerra	

## FOREIGN PATENT DOCUMENTS

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	2L					
	2M					
	2N					
	2O					
	2P					

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	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> <b>200313398-1</b>	<b>APPLICATION NO.</b> <b>10/814,840</b>	<b>CONFIRMATION NO.</b>  
<b>APPLICANT</b> <b>Douglas R. Woellner et al.</b>			
<b>FILING DATE</b> <b>03/31/04</b>		<b>GROUP</b> 	

**REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A	6,135,778	10/24/00	Ubelein	
	3B	6,196,859 B1	03/06/01	Garlarza	
	3C	6,329,597 B1	12/11/01	Kaloustian	
	3D	6,491,539 B1	12/10/02	Johnston	
	3E	6,756,543 B1	06/29/04	Kaloustian	
	3F	2004/0108126	06/10/04	Kaloustian	
	3G	2004/0127107	07/01/04	Lischeck et al.	
	3H	Des. 353,578	12/20/94	Johnson et al.	
	3I	Des. 428,598	07/25/00	Carter-Williams et al.	
	3J	D475,352S	06/03/03	Longanecker	
	3K				

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**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	3Q	
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	3S	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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